

Distinguishing types of ellipsis in polar answers: The view from Javanese

Jozina Vander Klok | j.v.klok@iln.uio.no



UiO • **University of Oslo**

NELS 51 – November 6, 2020

Background: Repetition-type polar answers

- ‘Repetition-type’ polar answers:
answers repeat same lexical content (e.g. verb or auxiliary) as the polar question¹
- Two types of ‘repetition’ affirmative polar answers with a TAM auxiliary in **Javanese**:

(1) Q: Kuna’ah **iso** ngelangi toh?
Kuna’ah CIRC.POS AV.swim FOC
‘Can Kuna’ah swim?’

A1: Iso. **‘aux-only’**
CIRC.POS
‘Yes.’ (Lit. ‘Can.’)

A2: Kuna’ah iso. **‘subj+aux’**
Kuna’ah CIRC.POS
‘Kuna’ah can.’

Other possibilities are a clause with basic word order S-Aux-V-O, or with a yes/no particle

1 – Sadock & Zwicky 1985, Enfield et al. 2018

Background: Types of ellipsis in polar answers

- Assuming 'repetition-type' polar answers have a full syntactic clause structure²

Two main strategies for verb or aux-only answers (cf. Holmberg 2016)

I. IP-DOMAIN ELLIPSIS

$[_{\text{Foc}[+Pol]} \text{Foc}^0 \text{Aux} [_{\text{PolP}} \text{Pol}^0 [_{+Pol} [_{\text{TP}} \text{SUBJ} [\text{AUX} [_{\text{VP}} \text{VERB}]]]]]]$

II. VP-ELLIPSIS

(+ SUBJECT ELLIPSIS)

$[_{\text{PolP}} \text{Pol}^0 [_{+Pol} [_{\text{TP}} [\text{SUBJ}] \text{T}^0 [\text{Aux} [_{\text{VP}} \text{VERB}]]]]$

Cross-linguistic Variation

- Does the verb or auxiliary move? If so, where to?
- Does the language have pro-drop/arg. ellipsis?

2 – Jones 1999; Martins 1994, 2006, 2013; Kramer & Rawlins 2008, Vennemann 2009;
Farkas & Bruce 2010:86; Lipták 2013; Holmberg 2001, 2003, 2016 ³

The puzzle: Which derivation strategy is used?

- Two types of ‘repetition’ affirmative polar answers with a TAM auxiliary:

(2) Q: ‘Can Kuna’ah swim?’

A1: Iso. ‘aux-only’
CIRC.POS
‘Yes.’ (Lit. ‘Can.’)

A2: Kuna’ah iso. ‘subj+aux’
Kuna’ah CIRC.POS
‘Kuna’ah can.’

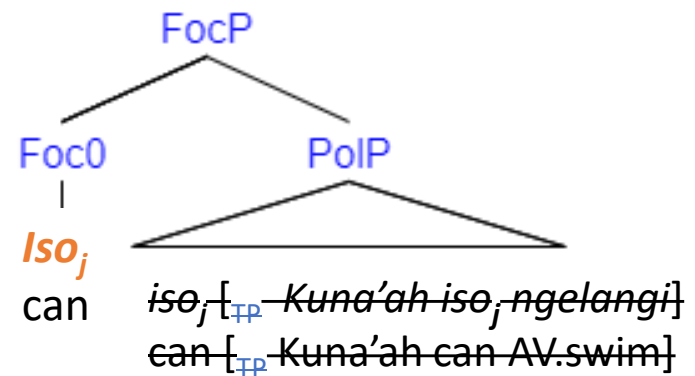
- In principle, **either derivation is possible for both A1 and A2 answers** since Javanese independently has aux-movement³, VP-ellipsis⁴, and argument ellipsis⁵

Derivational strategies	A1. Aux-only answer	A2. Subj+aux answer
IP-domain ellipsis	<i>Compatible</i>	<i>Compatible</i> <i>(plus subject-movement)</i>
VP-ellipsis	<i>Compatible</i> <i>(plus subject ellipsis)</i>	<i>Compatible</i>

Table 1.

Proposal: Japanese A1 'aux-only' and A2 'subj-aux' answers use two distinct strategies

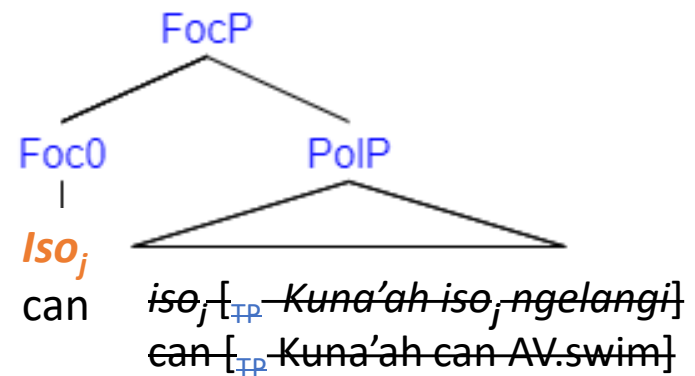
A1. **aux-only** answers use 'IP-domain ellipsis' (à la Holmberg 2016)



- Auxiliary has moved to Focus⁰
- PolP is elided (under identity with the PolP of the polar question)

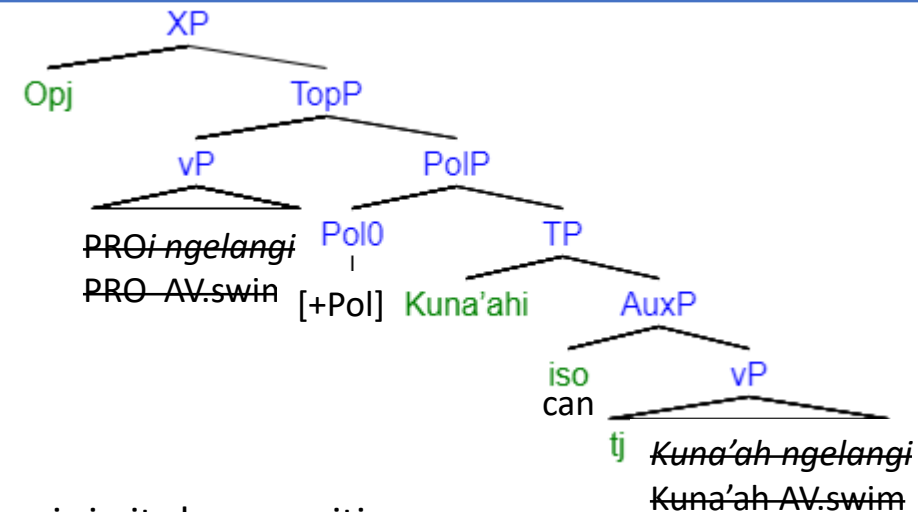
Proposal: Japanese A1 'aux-only' and A2 'subj-aux' answers use two distinct strategies

A1. **aux-only** answers use 'IP-domain ellipsis' (à la Holmberg 2016)



- Auxiliary has moved to Focus⁰
- PolP is elided (under identity with the PolP of the polar question)

A2. **subj+aux** answers use 'vP-topic-drop' via a Matching Analysis (à la Thoms & Walkden 2019)



- Auxiliary is in its base position
- lower vP is elided under identity with the higher, base-generated vP
- a null operator (base-generated with the subject of lower vP) moves to a position above TopP and links the two vPs
- higher vP is elided (under identity with the vP of the polar question)

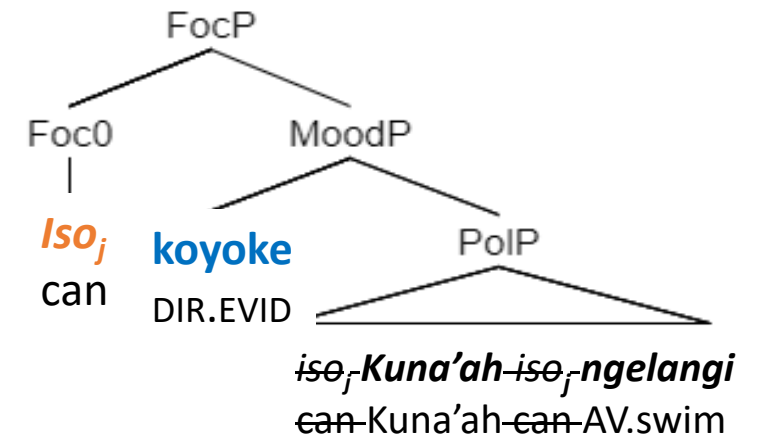
Evidence for 'Aux-only' answers as IP-domain ellipsis

- **Support for auxiliary movement** to a position in the Left Periphery:
 - optional overt head-movement of any TAM auxiliary to above an epistemic/evidential adverb
 - This unexpected TAM word order is only licensed in polar answers.

(2) Q: 'Can Kuna'ah swim?'

A3: **Iso** **koyoke** Kuna'ah ngelangi.
can DIR.EVID Kuna'ah AV.swim

'Kuna'ah can likely swim.'



Evidence for 'Subj+Aux' answers as VP-ellipsis via Matching

- A2 '**Subj+Aux**' answers share the same auxiliary restrictions as with vP-preposing, in contrast to:
 - A1. '**Aux+only**' answers
 - VP-ellipsis

East Javanese auxiliaries	A1. Aux-only answer	A2. Subj+Aux answer	Overt VP-Preposing	VP-ellipsis
<i>tau</i> 'EXIST.PST'	✓	✓	✓	✓
<i>iso</i> 'CIRC.POS'	✓	✓	✓	✓
<i>oleh</i> 'DEON.POS'	✓	✓	✓	✓
<i>kudu</i> 'ROOT.NEC'	✓	✗	✗	✓
<i>lagek</i> 'PROG'	✓	✗	✗	✓
<i>wes</i> 'already'	✓	✗	✗	✓

Table 2. Distribution of auxiliaries

Wider implications of the Japanese data

- A1 ‘**aux-only**’ and A2 ‘**Subj+Aux**’ answers have two distinct strategies:
 - **A1** as IP-domain ellipsis (cf. Holmberg 2016)
 - **A2** as VP-ellipsis via Matching (cf. Sailor 2014; Thoms & Walkden 2019)
 - **adds to a more fine-grained syntactic typology of ellipsis types in polar answers**
- But neither use VP-ellipsis plus argument ellipsis as a strategy, despite their independent availability (cf. Sato 2015; Vander Klok 2016)
 - Why? I suggest that the information-structure mapping in Japanese is crucial to understand how the different ellipsis strategies are licensed (cf. Kertz 2013; Sailor 2014)

Acknowledgements



Thanks also to UiO Linguistics, especially Patrick Grosz, Sarah Zobel, as well as the NELS51 reviewers for helpful feedback.

References

- Cole, Peter, Hara, Yurie, and Yap, Ngee Thai. 2008. Auxiliary Fronting in Peranakan Javanese. *Journal of Linguistics* 44:1-43.
- Holmberg, Anders. 2016 *The syntax of yes and no*. Oxford: OUP
- Kertz, Laura. 2013. Verb phrase ellipsis: The view from information structure. *Language* 89: 390-428.
- Kramer, Ruth and Kyle Rawlins. 2008. 'Polarity particles: an ellipsis account'. In Proceedings of NELS 39, Ithaca, NY.
- Lipták, Aniko. 2012. Verb-stranding ellipsis and verbal identity: the role of polarity focus. *Linguistics in the Netherlands* 29.
- Sato, Yosuke. 2015 Argument ellipsis in Javanese and voice agreement. *Studia Linguistica* 69
- Sailor, Craig. 2014. The Variables of VP-Ellipsis. PhD.
- Thoms, Gary & George Walkden. vP-fronting with and without remnant movement. *Journal of Linguistics* 55:161-214.
- Vander Klok, Jozina. 2015. 'The dichotomy of auxiliaries in Javanese: Evidence from two dialects', *Australian Journal of Linguistics* 35(2): 142-167.
- Vander Klok, Jozina. 2016. 'Diagnosing VP-Ellipsis in Javanese: Evidence for a non-movement and a movement account.' *Proceedings of Austronesian Formal Linguistics Association (AFLA 22)*, 18 pages.
- Vander Klok, Jozina. 2017. 'Types of polar questions in Javanese', *NUSA: Linguistic Studies in and around Indonesia* 63: 1-44.

I welcome further comments or questions via email: j.v.klok@iln.uio.no